

# Generating tree amplitudes in $\mathcal{N} = 4$ SYM and $\mathcal{N} = 8$ SG

Henriette Elvang (IAS)

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- arXiv:0808.1720 w/ Michael Kiermaier and Dan Freedman
- arXiv:0805.0757 w/ Massimo Bianchi and Dan Freedman
- arXiv:0710.1270 w/ Dan Freedman

# 1. Motivation

## Gravity as a quantum field theory:

- In perturbation theory, individual Feynman diagrams for loop corrections to scattering processes have *UV divergences*.
- Theory is **non-renormalizable**  
— so would need the UV divergencies to cancel to make the on-shell scattering amplitudes finite at each loop order.
- **Supersymmetry**  $\implies$  cancellations among divergencies

*The more, the better:* In 3+1 dimensions, there is a unique theory with maximal supersymmetry:  $\mathcal{N} = 8$  supergravity.

**Proposal:** Is  $\mathcal{N} = 8$  supergravity in 3+1d perturbatively finite?

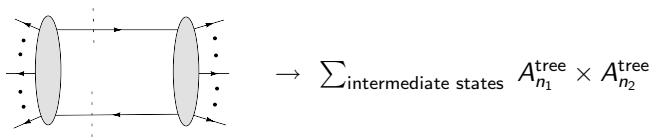
[Bern, Dixon, Roiban (2007)]

# Is $\mathcal{N} = 8$ supergravity perturbatively finite?

## Explicit calculations of loop amplitudes:

Use generalized unitarity cuts [Bern, Dixon, Kosower, ...] to construct loop amplitudes from products of on-shell tree amplitudes.

Example:



**Our work** focuses on developing efficient calculational methods for explicit construction of *any* on-shell  $n$ -point *tree* amplitudes in  $\mathcal{N} = 4$  super Yang-Mills theory and  $\mathcal{N} = 8$  supergravity.

→ **Generating functions.**

**Applications** to intermediate state sums in unitarity cuts.

# How to calculate on-shell tree level scattering amplitudes

- Feynman rules ← very many, very complicated diagrams
- On-shell recursion relations ← very useful  
Get  $n$ -point amplitudes from  $k$ -point amplitudes with  $k < n$ .
- Generating functions ← very efficient  
*Idea:* all  $n$ -point tree amplitudes of  $\mathcal{N} = 4$  SYM encoded in a set of simple Grassmann functions  $Z_n^{\text{MHV}}$ ,  $Z_n^{\text{NMHV}}$ ,  $\dots$ ,  $Z_n^{\overline{\text{MHV}}}$ :

$$A_n(X_1, X_2, \dots, X_n) = D_{X_1} D_{X_2} \cdots D_{X_n} Z_n$$

with differential operators  $D_{X_i}$  in 1-1 correspondence with the states  $X_j$ .

**Advantage:** obtain amplitude directly without having to first compute set of lower-point amplitudes.

# MHV sector and beyond

SUSY  $\implies$  helicity violating  $n$ -gluon amplitudes vanish:

$$A_n(+, +, \dots, +) = A_n(-, +, \dots, +) = 0.$$

- The *simplest* amplitudes are **MHV** (maximally helicity violating)  
 $\rightarrow$   $n$ -gluon amplitude  $A_n(-, -, +, \dots, +)$

**MHV sector:** amplitudes related to  $A_n$  via SUSY Ward identities.

- The *next-to-simplest* amplitudes are **Next-to-MHV**  
 $\rightarrow$   $n$ -gluon amplitude  $A_n(-, -, -, +, \dots, +)$

**NMHV sector:** SUSY related (but much harder to solve SUSY Ward identities).

...

## Salient properties of the generating function

→ Generating functions developed for MHV, NMHV amplitudes  
+ for anti-MHV and anti-NMHV.

→ Precise characterization of MHV and NMHV sectors,  
e.g.  $A_6(\lambda_+ \lambda_+ \lambda_+ \lambda_+ \phi \phi)$  is MHV in  $\mathcal{N} = 4$  SYM.

→ Counts distinct processes in each sector:

	MHV	NMHV
$\mathcal{N} = 4$ :	15	34
$\mathcal{N} = 8$ :	186	919

counting  $\leftrightarrow$  partitions of integers!

→ Simple relationship  $Z_n^{\mathcal{N}=8} \propto Z_n^{\mathcal{N}=4} \times Z_n^{\mathcal{N}=4}$  (MHV)  
clarifies SUSY and global symmetries in map  
 $[\mathcal{N} = 8] = [\mathcal{N} = 4]_L \otimes [\mathcal{N} = 4]_R$  of states  
and KLT relations  $M_n = \sum (k_n A_n A'_n)$ .

→ Evaluation of **state sums** in unitarity cuts of loop amplitudes.

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- 3 Intermediate State Spin Sums
- 4 Recursion relations  $\leftrightarrow$  MHV vertex expansion
- 5 Next-to-MHV generating functions in  $\mathcal{N} = 4$  SYM
- 6 From  $\mathcal{N} = 4$  SYM to  $\mathcal{N} = 8$  SG
- 7 Outlook

I will use *spinor helicity* formalism:

- If momentum  $p_\mu$  null, i.e.  $p^2 = 0$ , then

$$p_{\alpha\dot{\beta}} = p_\mu (\bar{\sigma}^\mu)^{\dot{\alpha}\beta} = |p\rangle^{\dot{\alpha}} [p]^\beta$$

with bra and kets being 2-component commuting spinors which are solutions to the massless Dirac eqn,  $p_{\alpha\dot{\beta}} |p\rangle^{\dot{\beta}} = 0$ .

- Spinor products  $\langle 12 \rangle \equiv \langle p_1 |_{\dot{\alpha}} |p_2\rangle^{\dot{\alpha}}$  and  $[12] = [p_1]^\alpha [p_2]_\alpha$  are just  $\sqrt{2|p_1 \cdot p_2|}$  up to a complex phase.
- Note  $[ij] = -[ji]$  and  $\langle ij \rangle = -\langle ji \rangle$ .



## 2. MHV generating function — $\mathcal{N} = 4$ SYM

$$\begin{array}{ccc} \text{States } X_i & \leftrightarrow & \text{differential operators } D_{X_i} \\ \downarrow & & \downarrow \\ \text{Amplitude } A_n(X_1 X_2 \dots X_n) & = & D_{X_1} D_{X_2} \dots D_{X_n} Z_n \end{array}$$

First need (state  $\leftrightarrow$  diff op) correspondence.

# $\mathcal{N} = 4$ SYM

$\mathcal{N} = 4$  SYM has  $2^4$  massless states:

$a, b = 1, 2, 3, 4 \in SU(4)$  global sym

1+1 gluons  $B^-, B_+$

4+4 gluini  $F_a^-, F_+^a$

6 self-dual scalars  $B^{ab} = \frac{1}{2}\epsilon^{abcd} B_{cd}$

4 supercharges  $\tilde{Q}_a = \epsilon_{\dot{\alpha}} \tilde{Q}_a^{\dot{\alpha}}$  and  $Q^a = \tilde{Q}_a^*$  act on annihilation operators:

$$[\tilde{Q}_a, B_+(p)] = 0,$$

$$[\tilde{Q}_a, F_+^b(p)] = \langle \epsilon p \rangle \delta_a^b B_+(p),$$

$$[\tilde{Q}_a, B^{bc}(p)] = \langle \epsilon p \rangle (\delta_a^b F_+^c(p) - \delta_a^c F_+^b(p)), \quad (\text{consistent with crossing sym. and self-duality})$$

$$[\tilde{Q}_a, B_{bc}(p)] = \langle \epsilon p \rangle \epsilon_{abcd} F_+^d(p),$$

$$[\tilde{Q}_a, F_b^-(p)] = \langle \epsilon p \rangle B_{ab}(p),$$

$$[\tilde{Q}_a, B^-(p)] = -\langle \epsilon p \rangle F_a^-(p)$$

# $\mathcal{N} = 4$ SYM (state $\leftrightarrow$ diff op) correspondence

Introduce auxiliary Grassman variable  $\eta_{ia}$

$i$  momentum label  $p_i$ ,  $a = 1, \dots, 4$  is  $SU(4)$  index.

Associate to each state Grassman diff ops  $\partial_i^a = \frac{\partial}{\partial \eta_{ia}}$ :

$$B_+(p_i) \leftrightarrow 1$$

$$F_+^a(p_i) \leftrightarrow \partial_i^a$$

$$B_+^{ab}(p_i) \leftrightarrow \partial_i^a \partial_i^b$$

$$F_a^-(p_i) \leftrightarrow -\frac{1}{3!} \epsilon_{abcd} \partial_i^b \partial_i^c \partial_i^d$$

$$B^-(p_i) \leftrightarrow \partial_i^1 \partial_i^2 \partial_i^3 \partial_i^4$$

This is our (state  $\leftrightarrow$  diff op) correspondence.

SUSY generators  $\tilde{Q}_a = \sum_{i=1}^n \langle \epsilon i \rangle \eta_{ia}$  and  $Q^a = \sum_{i=1}^n [i \epsilon] \frac{\partial}{\partial \eta_{ia}}$  give correct SUSY algebra

$$[Q^a, \tilde{Q}_b] = \delta_b^a \sum_{i=1}^n [\epsilon_1 i] \langle i \epsilon_2 \rangle = \delta_b^a \sum_{i=1}^n \epsilon_1^\alpha p_{i\alpha\dot{\beta}} \tilde{\epsilon}_2^{\dot{\beta}} \rightarrow 0 \quad (\text{mom. cons.}),$$

and

$$[\tilde{Q}, \text{diff op}] = \langle \epsilon p \rangle (\text{diff op})'$$

produces correct algebra on states.

The **MHV generating function** is

$$Z_n^{\mathcal{N}=4}(\eta_{ia}) = \frac{A_n(1^-, 2^-, 3^+, \dots, n^+)}{\langle 12 \rangle^4} \delta^{(8)}\left(\sum_i |i\rangle \eta_{ia}\right),$$

where  $\delta^{(8)}\left(\sum_i |i\rangle \eta_{ia}\right) = 2^{-4} \prod_{a=1}^4 \sum_{i,j=1}^n \langle ij \rangle \eta_{ia} \eta_{ja}$ .

[Nair (1988)] [GGK (2004)]

( $\delta$ -function of Grassman variables  $\theta_a$  is  $\prod \theta_a$ )

- $\eta_{ia}$  — auxilliary Grassman variables
- $a = 1, 2, 3, 4$  —  $SU(4)$  indices
- $i, j = 1, 2, \dots, n$  — momentum labels

**Claim:** any 8th order derivative operator built from (state  $\leftrightarrow$  diff op) correspondence gives an MHV amplitude when applied to  $Z_n^{\mathcal{N}=4}$ :

$$A_n^{\text{MHV}}(X_1, \dots, X_n) = D_{X_1} \cdots D_{X_n} Z_n^{\mathcal{N}=4}.$$

Let's prove this!

**Proof:**

$$Z_n^{\mathcal{N}=4}(\eta_{ia}) = \frac{A_n(1^-, 2^-, 3^+, \dots, n^+)}{\langle 12 \rangle^4} \delta^{(8)}\left(\sum_i |i\rangle \eta_{ia}\right)$$

- $Z_n^{\mathcal{N}=4}$  reproduces pure MHV gluon amplitude  $A_n(1^-, 2^-, 3^+, \dots, n^+)$  correctly.

**Proof:**

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- $\tilde{Q}_a Z_n^{\mathcal{N}=4} \propto (\sum_{i=1}^n |i\rangle \eta_{ia}) \delta^{(8)}(\sum_i |i\rangle \eta_{ia}) = 0$ .

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- $[\tilde{Q}_a, D^{(9)}] Z_n^{\mathcal{N}=4} = 0$

encode the MHV SUSY Ward identities:

$$0 = [\tilde{Q}_a, D^{(9)}] Z_n^{\mathcal{N}=4} = \sum_t D_{X_t} \cdots [\tilde{Q}_a, D_{X_t}] \cdots D_{X_n} Z_n^{\mathcal{N}=4},$$

$$0 = \langle 0 | [\tilde{Q}_a, X_1 \dots X_n] | 0 \rangle = \sum_t \langle X_1 \dots [\tilde{Q}_a, X_t] \dots X_n \rangle.$$



**Proof:**

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- MHV SUSY Ward identities have *unique* solutions.

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$$0 = \langle 0 | [\tilde{Q}_a, X_1 \dots X_n] | 0 \rangle = \sum_t \langle X_1 \dots [\tilde{Q}_a, X_t] \dots X_n \rangle.$$

- MHV SUSY Ward identities have *unique* solutions.

$\Rightarrow Z_n^{\mathcal{N}=4}$  produces all MHV amplitudes correctly.

## Characterizing amplitudes in the MHV sector of $\mathcal{N} = 4$ SYM:

$$D^{(8)} Z_n^{\mathcal{N}=4} = \text{MHV amplitude}$$

hence

$$\# \text{ MHV amplitudes} = \# \text{ partitions of 8 with } n_{\max} = 4.$$

MHV amplitudes:

$$\begin{aligned} 8 &= 4 + 4 && \leftrightarrow \langle B^- B^- B_+ \dots B_+ \rangle \\ &= 4 + 3 + 1 && \leftrightarrow \langle B^- F_a^- F_+^a B_+ \dots B_+ \rangle \\ &\dots \\ &= 1 + \dots + 1 && \leftrightarrow \langle F_+^{a_1} \dots F_+^{a_8} B_+ \dots B_+ \rangle \end{aligned}$$

Total of **15 MHV amplitudes** in  $\mathcal{N} = 4$  SYM.

### Example:

Calculate  $\langle B^-(p_1) F_+^1(p_2) F_+^2(p_3) F_+^3(p_4) F_+^4(p_5) B^+(p_6) \rangle$

$$\begin{aligned} & (\partial_1^1 \partial_1^2 \partial_1^3 \partial_1^4) (\partial_2^1) (\partial_3^2) (\partial_4^3) (\partial_5^4) \delta^{(8)} \left( \sum_i |i\rangle \eta_{ia} \right) \\ &= (\partial_1^1 \partial_2^1) (\partial_2^2 \partial_3^2) (\partial_1^3 \partial_4^3) (\partial_1^4 \partial_5^4) \delta^{(8)} \left( \sum_i |i\rangle \eta_{ia} \right) \\ &= \langle 12 \rangle \langle 13 \rangle \langle 14 \rangle \langle 15 \rangle \end{aligned}$$

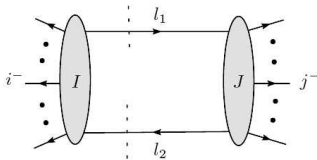
using  $\delta^{(8)} \left( \sum_i |i\rangle \eta_{ia} \right) = (2^{-4} \prod_{a=1}^4 \sum_{i,j=1}^n \langle ij \rangle \eta_{ia} \eta_{ja})$ ,

so

$$\begin{aligned} & \langle B^-(p_1) F_+^1(p_2) F_+^2(p_3) F_+^3(p_4) F_+^4(p_5) B^+(p_6) \rangle \\ &= \frac{\langle 12 \rangle \langle 13 \rangle \langle 14 \rangle \langle 15 \rangle}{\langle 12 \rangle^4} A_n(1^-, 2^-, 3^+, 4^+, 5^+, 6^+). \end{aligned}$$

### 3. Intermediate state sum

**Example:** One-loop MHV amplitude



Use **MHV** generating function to compute intermediate state sum of unitarity cut:

$$D_{l_1}^{(4)} D_{l_2}^{(4)} \left[ \delta^{(8)}(I) \delta^{(8)}(J) \right]$$

$D_{l_1}$  and  $D_{l_2}$  distribute themselves between  $\delta^{(8)}(I)$  and  $\delta^{(8)}(J)$ .  
This automatically takes care of the intermediate state sum.

Have done 1-, 2-, 3-, and 4-loop state sums involving **MHV**, **NMHV**, **MHV**, and **NMHV** generating functions in  $\mathcal{N} = 4$ .

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## 4. Recursion relations $\leftrightarrow$ MHV vertex expansion

- **Recursion relations:** express on-shell  $n$ -point amplitude in terms of  $k$ -point on-shell sub-amplitudes with  $k < n$ .
- Even better if sub-amplitudes are MHV  
→ **MHV vertex expansion.**

For gluons:

[Britto, Cachazo, Feng (2004)] [Britto, Cachazo, Feng, Witten (2005)] [Cachazo, Svrcek, Witten (2004)] [Risager (2005)]

For general  $\mathcal{N} = 4$  external state:

[Bianchi, Freedman, HE (May 2008)]  
[Freedman, Kiermaier, HE (Aug 2008)]

[Cheung (2008)] [–, anything)-shift OK  
[Arkani-Hamed, Cachazo, Kaplan (2008)] new 2-line SUSY shift.  
[Brandhuber, Heslop, Travaglini (2008)]  
[Drummond, Henn (2008)]

# 3-line shift recursion relations

- ▶ Analytically continue amplitudes to complex values by *shifts* of 3 external momenta:

$$p_i^\mu \rightarrow \hat{p}_i^\mu = p_i^\mu + z q_i^\mu, \quad \text{for } i = 1, 2, 3.$$

where

$$q_1^\mu + q_2^\mu + q_3^\mu = 0 \quad \leftrightarrow \quad \text{momentum conservation}$$

$$q_i^2 = 0 = q_i \cdot p_i \quad \leftrightarrow \quad \text{on-shell } \hat{p}_i^2 = 0.$$

Achieved by  $|1] \rightarrow |\hat{1}] = |1] + z\langle 23|X]$  (+ cyclic)  
with  $|X]$  arbitrary “reference spinor”.

- ▶ The tree amplitude  $A_n(z)$  has only simple poles, so **if**  $A_n(z) \rightarrow 0$  for  $z \rightarrow \infty$ , then

$$0 = \oint \frac{A_n(z)}{z} \quad \rightarrow \quad A_n(0) = - \sum_{z \neq 0} \text{Res} \frac{A_n(z)}{z}$$



# 3-line shift recursion relations $\rightarrow$ NMHV gen func

- Result is on-shell recursion relation

$$A_n(0) = \sum_I A_{n_1} \frac{1}{p_I^2} A_{n_2}, \quad n_1 + n_2 = n + 2$$

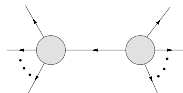
The special 3-line shift ensures that the sub-amplitudes are both MHV if  $A_n$  is NMHV. [Risager (2005)]

The diagram shows an equality between an NMHV amplitude and a sum of MHV amplitudes. On the left, a central grey circle represents an NMHV vertex with  $n$  external lines (indicated by arrows and dots). This is equal to a sum over internal lines  $I$  of two MHV vertices (grey circles) connected by a propagator line. The left MHV vertex has  $n_1$  external lines, and the right MHV vertex has  $n_2$  external lines.

$\rightarrow$  Now use this to get NMHV gen func.

## 5. Next-to-MHV generating functions — $\mathcal{N} = 4$ SYM

- ▶ Consider a single MHV vertex diagram:



- ▶ Apply MHV gen func to each vertex to derive (details omitted)

$$\Omega_{n,l}^{\mathcal{N}=4} = \frac{A_{n,l}^{\text{gluons}}}{\langle m_1 P_l \rangle^4 \langle m_2 m_3 \rangle^4} \delta^{(8)}(L_a + R_a) \prod_{a=1}^4 \langle P_l L_a \rangle$$

where  $L_a = \sum_{i \in L} |i\rangle \eta_{ia}$  and  $R_a = \sum_{j \in R} |j\rangle \eta_{ja}$ .

[Georgio, Glover and Khoze (2004)]

- ▶ Each term in  $\Omega_{n,l}^{\mathcal{N}=4}$  is order 12 in  $\eta_{ia}$ 's.
- ▶ Value of diagram is  $D^{(12)} \Omega_{n,l}^{\mathcal{N}=4}$  with  $D^{(12)}$  built from the external states.
- ▶ Sum all diagram gen func's to get full NMHV gen func:

$$\Omega_n^{\mathcal{N}=4} = \sum_l \Omega_{n,l}^{\mathcal{N}=4}$$

### Example:

NMHV gluon amplitude

$$A_n(1^-, 2^-, 3^-, 4^+, \dots, n^+) = D_1^{(4)} D_2^{(4)} D_3^{(4)} \Omega_n^{\mathcal{N}=4}$$

Partition  $12 = 4+4+4$ .

$\mathcal{N} = 4$  SYM:

# NMHV amplitudes = # partitions of 12 with  $n_{\max} = 4$ .

Total of 34.

## But...

We used MHV vertex expansion from 3-line shift recursion relations, which *assumed*

$$A_n(z) \rightarrow 0 \quad \text{for} \quad z \rightarrow \infty.$$

Is this OK?

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$$A_n(z) \rightarrow 0 \quad \text{for} \quad z \rightarrow \infty.$$

Is this OK?

**YES!** [Freedman, Kiermaier, HE (Aug 2008)] .

— *provided the three lines share a common (upper)  $SU(4)$  index.*

In  $\mathcal{N} = 4$  SYM,  $A_n(\hat{1}, \dots, \hat{i}, \dots, \hat{j}, \dots) \rightarrow 0$  for  $z \rightarrow \infty$  when the 3 shifted states 1,  $i, j$  share a common (upper)  $SU(4)$  index.

*Outline of proof:*

- Consider first amplitude  $A_n$  with state 1 a  $-ve$  helicity gluon.
- Use [Cheung (2008)]'s result  $[1^-, k]$ -shift gives valid BCFW 2-line shift recursion relations

$$A_n = \sum \left( \text{MHV} \text{ MHV} + \text{NMHV} \overline{\text{MHV}} \right)$$

- Perform subsequent  $[1, i, j]$ -shift: The as  $z \rightarrow \infty$ :  
 diagrams  $\text{MHV} \times \text{MHV} \rightarrow O(\frac{1}{z})$   
 diagrams  $\text{NMHV}_{n-1} \times \overline{\text{MHV}}_3 \rightarrow O(\frac{1}{z})$  using inductive assumption.
- Basis of induction established by careful examination of  $n = 6$  cases.
- So  $A_n(\hat{1}^-, \dots, \hat{i}, \dots, \hat{j}, \dots) \rightarrow 1/z$  for large  $z$ .
- Use SUSY Ward identities to generalize state 1 to any  $\mathcal{N} = 4$  state sharing a common index with  $i$  and  $j$ .

# Summary — $\mathcal{N} = 4$ SYM

This proves the validity of the NMHV generating function in  $\mathcal{N} = 4$  SYM. It shows at the same time that the MHV vertex expansion is true for all external states.

Also, the generating function is **unique**: once established, it does not know which valid 3-line shift it came from!

**Anti-(N)MHV**: The generating function for  $\overline{(N)MHV}$  can be obtained from that of (N)MHV by a Grassman Fourier transform.

We have successfully applied our generating functions to the evaluation of several 1-, 2-, 3-, and 4-loop intermediate state sums.

## 6. From $\mathcal{N} = 4$ SYM to $\mathcal{N} = 8$ SG

- MHV generating function generalizes directly.
  - Useful for testing map  $[\mathcal{N} = 4] \times [\mathcal{N} = 4] = [\mathcal{N} = 8]$  at tree level
- Natural implementation of NMHV generating function
  - but it doesn't work for all possible external states of  $\mathcal{N} = 8$  SG!
  - because the MHV vertex expansion fails in these cases!



## From $\mathcal{N} = 4$ SYM to $\mathcal{N} = 8$ SG (cont'd)

Large  $z$  for pure graviton  $n$ -point amplitude:

$$M_n(\hat{1}^-, \hat{2}^-, \hat{3}^-, 4^+, \dots, n^+) \rightarrow z^{n-12} \quad \text{for } z \rightarrow \infty$$

Numerically verified for  $n = 5, \dots, 11$ .

- When the  $M_n(z)$  does not vanish for large  $z$  the  $O(1)$ -term contributes as the residue of the pole at infinity. No (known) amplitude factorization that allows systematic calculation of this part.
- **Intermediate state sums** in unitarity cuts of  $\mathcal{N} = 8$  SG loop amplitudes performed in terms of  $\mathcal{N} = 4$  SYM via the KLT (Kawai-Lewellen-Tye) relations  $M_n \sim \sum(k.f.) A_n A'_n$ .

## 7. Outlook

### Loops in $\mathcal{N} = 8$ supergravity

Is there are connection between “bad” large  $z$  behavior in supergravity tree amplitudes and potential UV divergencies?

### Role of $E_{7,7}$ ?

- 70 scalars of  $\mathcal{N} = 8$  SG are Goldstone bosons of spontaneously broken  $E_{7,7} \rightarrow SU(8)$ .
- How will  $E_{7,7}$  reveal itself?  
→ soft-scalar limits of amplitudes  
(analogous to soft-pion low-energy theorems of Adler).
- We find that 1-soft- “pion” limits of  $\mathcal{N} = 8$  tree amplitudes *vanish*.
- Note that in pion physics 1-pion soft limits do not necessarily vanish, even in models with pions and nucleons both massless.
- Since our May paper: new results by [Arkani-Hamed, Cachazo, Kaplan (2008)]